

## 銘傳大學法律學院「證券與金融法律學分學程」實施細則

# Enforcement Rules for Security and Financial Law Focused Course Program of the School of Law at Ming Chuan University

105年10月21日系務會議通過

105年11月4日院務會議修正通過

105年11月24日校務會議修正通過

Passed at the Department Affairs Committee Meeting on October 21, 2016

Passed at the School Affairs Committee Meeting on November 4, 2016

Passed at the Academic Affairs Committee Meeting on November 24, 2016

一、本院為培養具有證券暨金融法律知識之人才，依據「銘傳大學跨院系所學分學程設置辦法」訂定「證券與金融法律學分學程」施行細則(以下簡稱本細則)。

**Article 1.** In accordance with the Procedures for Establishing Cross-school, Cross-department, and Cross-disciplinary Degree and Course Programs, Securities and Financial Laws Focused Course Program (hereinafter called the Rules) were established so that this program might cultivate Securities and Financial Laws professionals for the nation to meet the need for studies related to technology law development.

二、本學程由本學程相關學系教師四至五人組成學程委員會，由委員互推一人擔任召集人，學程委員會負責學程課程規劃。

**Article 2.** The program committee consists of 4 to 5 committee members. One member shall be elected as the convener by and from the entire membership of the committee. Program committee is responsible for program curriculum planning.

三、本學程業務承辦單位為財金法律學系。

**Article 3.** The responsible unit for this program is the Financial Law Department of the School of Law.

四、本學程應修科目學分表應經本校「校課程委員會」及「教務會議」審核通過，送呈校長核定後實施。

**Article 4.** Upon being passed at the Department Curriculum Committee Meeting, School Curriculum Committee Meeting and University Curriculum Committee Meeting and the Academic Affairs Committee Meeting, and approved by the president, the list of the required courses and associated credit hours was announced and implemented.

五、申請修讀本學程之學生應透過本校網路學生事務系統申請，經本系及教務處審查核可後，成為本學程學員。

**Article 5.** All undergraduate students of Ming Chuan University can apply for this program through MCU Student Information System and be admitted upon approval.

六、修讀本學程者應依應修科目學分表修讀至少二十學分課程，其中應有六學分以上不屬於原學系、組、學位學程之科目。完成前述學分者，經審核無誤並報請校長核准後，由學校發給學分學程證明書。

**Article 6.** Students must complete 20 credit hours. Among them, at least 6 credits shall come from the outside of the departments of the student's major and minor. After the completed credits are reviewed by Economics and Banking Department and approved by the president, the certificate will be issued by the University.

七、修讀本學程學生已符合原學系畢業資格但尚未修滿學程規定之科目與學分者，除修習教育學程得依「大學設立師資培育中心辦法」規定申請延長修業年限外，依大學法及相關教育法令規定，不得延長其修業年限。

**Article 7.** With the exception students in the Teacher Education Program who are eligible to extend their study period in accordance with regulations outlined in Procedures for Establishing Teacher Education Centers, if students taking the program related to these Rules meet the requirements for graduation in their original department or graduate program but have not completed the credits required for the program, they are limited by regulations outlined in University Law and may not extend the number of years required for graduation.

八、本院依本校相關法規定期評估本學程實施結果，其評估標準包含學生申請數、取得證書數及學生滿意度等；並得依其評估結果修正或終止本學程。

**Article 8.** The program will be reviewed periodically by the School of Social Sciences, including the numbers of applicants, certificates obtained, and students' satisfaction levels. Revision or termination of the program shall be made according to the assessment results.

九、因故須終止實施本學程時，應提具終止說明書，經教務會議通過，報請校長核准後公告終止。

**Article 9.** For reasons of termination of the implementation of the program, it should be provided with termination instructions, approved by the Academic Council, submitted to the president for approval after the announcement was terminated.

十、本細則如有未規定事項，悉依本校學則及有關法令規定辦理。

**Article 10.** If there are any unspecified matters in these rules, it shall be handled in accordance with the rules of the school and the relevant laws and regulations.

十一、本細則經系、院務會議及教務會議通過，報請校長核定後公告實施，修正時亦同。

**Article 11.** Upon being passed at the Department Affairs Committee Meeting, School Affairs Committee Meeting and Academic Affairs Committee Meeting, and approved by the president, these regulations may be announced and implemented. Any revision must follow the same procedure.

\*\*文件中若有中文版與其他語言版本矛盾或不一致之處，應以中文版為準\*\*

\*\*In the event of any inconsistency or discrepancy between the Chinese and other language versions of this document, the Chinese version shall prevail.\*\*

銘傳大學法律學院「證券與金融法律學分學程」必、選修科目表

**Security and Financial Law Focused Course Program  
of the School of Law at Ming Chuan University**

執行單位：財金法律學系 Financial Law Department

| 選別<br>Req/Elec      | 課程名稱<br>Course Name                                            | 學分數<br>credits | 備註(可抵免科目)<br>Note                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|---------------------|----------------------------------------------------------------|----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 核心課程 (必修課程)<br>Req  | 金融法規<br>Financial Regulations                                  | 2              | 法律系 41356 Financial Regulations (2)<br>財金系 54238 Financial Regulations (2)<br>風保系 56336 Financial Regulations (3)<br>財法系 65353 Financial Regulations (2)                                                                                                                                                                                                                                                                                                                                                                                     |
|                     | 證券暨期貨交易法上<br>Laws and regulations on Securities and Futures I  | 2              | 法律系 41438 Laws and regulations on Securities and Futures I (2)<br>法律系 41456 Laws and regulations on Securities and Futures I (3)<br>會計系 52435 Laws and regulations on Securities and Futures I (3)<br>財法系 65452 Laws and regulations on Securities and Futures I (3)<br>財法系 65468 Laws and regulations on Securities and Futures I (2)<br>財法系 65473 Laws and regulations on Securities and Futures I (4)<br>財法系 65483 Laws and regulations on Securities and Futures I (2)<br>財金系 54355 Laws and regulations on Securities and Futures I (2) |
|                     | 證券暨期貨交易法下<br>Laws and regulations on Securities and Futures II | 2              | 法律系 41446 Laws and regulations on Securities and Futures II (2)<br>財法系 65473 Laws and regulations on Securities and Futures II (4)<br>財法系 65484 Laws and regulations on Securities and Futures II (2)                                                                                                                                                                                                                                                                                                                                        |
|                     | 公司法<br>Company Laws                                            | 3              | 企管系 11239 Company Laws (3)<br>法律系 41309 Company Laws (3)<br>會計系 52204 Company Laws (4)<br>風保系 56359 Company Laws (4)<br>財法系 65309 Company Laws (3)<br>應統系 35254 Company Laws (3)<br>觀事系 14235 Company Laws (3)<br>資管系 13955 Company Laws (3)<br>資管系 13461 Company Laws (3)<br>企管系 11208 Company Laws (3)                                                                                                                                                                                                                                     |
| 進階課程 (選修課程)<br>Elec | 金融概論<br>Introduction to Finance                                | 2              | 財金系 54101 Introduction to Finance (2)<br>財法系 65322 Introduction to Finance (2)<br>財金系 54102 Introduction to Finance (2)<br>應日系 44434 Introduction to Finance (2)                                                                                                                                                                                                                                                                                                                                                                             |
|                     | 保險法                                                            | 2              | 法律系 41302 Law of Insurance (2)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |

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|  | Law of Insurance                                                                         |   | 財法系 65314 Law of Insurance (2)<br>風保系 56404 Law of Insurance (4)<br>財金系 54358 Law of Insurance (2)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|  | 財報分析概論<br>Introduction to Financial Statement Analysis                                   | 2 | 會計系 52312 Introduction to Financial Statement Analysis (3)<br>會計系 52433 Introduction to Financial Statement Analysis (3)<br>財金系 54218 Introduction to Financial Statement Analysis (3)<br>財金系 54305 Introduction to Financial Statement Analysis (2)<br>財金系 54479 Introduction to Financial Statement Analysis (2)<br>風保系 56237 Introduction to Financial Statement Analysis (2)                                                                                                                                                                                                                                                                                        |
|  | 保險理財與實務<br>Financial and Insurance Planning: Theory and Practice                         | 3 | 風保系 56431 Financial and Insurance Planning: Theory and Practice (壽險) (4)<br>風保系 56432 (產險) Financial and Insurance Planning: Theory and Practice (4)<br>財金系 54387 (保險理財與實務) (3)<br>風保系 56468 Financial and Insurance Planning: Theory and Practice (個人保險理財) (3)                                                                                                                                                                                                                                                                                                                                                                                                         |
|  | 租稅法總論<br>Tax Law -General Principles                                                     | 2 | 法律系 41383 Tax Law -General Principles (2)<br>法律系 41333 Tax Law -General Principles (2)<br>財法系 65352 Tax Law -General Principles (2)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|  | 銀行管理理論與實務(二選一課程)<br>Theory and Practice of Banking Management (choose either of the two) | 3 | 財務金融學系 54368 Theory and Practice of Banking Management (choose either of the two)(大3選修)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|  | 貨幣銀行學(二選一課程)<br>Money and Banking (choose either of the two)                             | 2 | 企管系 11371 Money and Banking (choose either of the two) (3)<br>風保系 56201 Money and Banking (choose either of the two) (2)<br>國企系 57237 Money and Banking (choose either of the two) (2)<br>法律系 41234 Money and Banking (choose either of the two) (2)<br>法律院 63243 Money and Banking (choose either of the two) (2)<br>財金系 54201 Money and Banking (choose either of the two) (3)<br>財金系 54208 Money and Banking (choose either of the two) (2)<br>財金系 54214 Money and Banking (choose either of the two) (3)<br>經濟系 55301 Money and Banking (choose either of the two) (2)<br>經濟系 55307 Money and Banking (choose either of the two) (3)<br>風保系 56244 Money and Banking |

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|  |                                                                |   | (choose either of the two) (2)<br>應統系 35351 Money and Banking<br>(choose either of the two) (2)<br>應統系 35356 Money and Banking<br>(choose either of the two) (3)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|  | 財務管理(三選一課程)<br>Financial Management<br>(choose one from three) | 2 | 統資系 35451 Financial<br>Management (choose one from<br>three) (3)<br>會計系 52343 Financial<br>Management (choose one from<br>three) (3)<br>會計系 52302 Financial<br>Management (choose one from<br>three) (3)<br>財金系 54213 Financial<br>Management (choose one from<br>three) (6)<br>風保系 56305 Financial<br>Management (choose one from<br>three) (4)<br>國企系 57309 Financial<br>Management (choose one from<br>three) (3)<br>法律系 41351 Financial<br>Management (choose one from<br>three) (2)<br>財法系 65313 Financial<br>Management (choose one from<br>three) (2)<br>企管系 11301 (3)<br>企管系 11479 Financial<br>Management (choose one from<br>three) (3)<br>資管系 13204 (3)<br>資管系 13399 Financial<br>Management (choose one from<br>three) (3)<br>觀事系 14232 Financial<br>Management (choose one from<br>three) (2)<br>觀事系 14461 Financial<br>Management (choose one from<br>three) (2)<br>法律系 41377 Financial<br>Management (choose one from<br>three) (3)<br>應英系 42256 Financial<br>Management (choose one from<br>three) (3)<br>財金系 54304 Financial<br>Management (choose one from<br>three) (2)<br>財金系 54403 Financial<br>Management (choose one from<br>three) (2)<br>財金系 54409 Financial<br>Management (choose one from<br>three) (2)<br>財金系 54441 Financial |

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|  |                                                                             |   | Management (choose one from three) (2)<br>經濟系 55341 Financial Management (choose one from three) (3)<br>經濟系 55434 Financial Management (choose one from three) (3)<br>風保系 56209 Financial Management (choose one from three) (3)<br>風保系 56351 Financial Management (choose one from three) (3)<br>國企系 57311 Financial Management (choose one from three) (3)<br>國企系 57435 Financial Management (choose one from three) (3) |
|  | 公司治理法規(三選一課程)<br>Regulation on Corporate Governance (choose one from three) | 2 | 法律系 41490 Regulation on Corporate Governance (choose one from three) (2)<br>財法系 65453 Regulation on Corporate Governance (choose one from three) (2)                                                                                                                                                                                                                                                                       |
|  | 投資學(三選一課程)                                                                  | 4 | 財金系 54303 Investment (choose one from three) (4)<br>風保系 56310 Investment (choose one from three) (4)                                                                                                                                                                                                                                                                                                                       |

備註：

1. 修讀本學程者，應修滿必修課程 9 學分，選修課程至少 11 學分，合計修畢至少 20 學分，其中應有 6 學分以上不屬於原學系、所、組學位學程之科目。  
Students must complete 20 credit hours. Among them. Courses with least 9 required course credits and at least 11 Elective course credits and at least 6 credits shall come from the outside of the departments of the student's major and minor.
2. 學生原學系已修課程符合本學程之相關課程者，其所修學分數高於本學程之學分數時，以本學程學分數列計。  
Students have completed this courses, the course credits they get will according to the credits of course of program. °
3. 「銀行管理理論與實務」與「貨幣銀行學」為二選一課程。  
Students must choose either of the two courses of 「Theory and Practice of Banking Management」 or 「Money and Banking」。
4. 「財務管理」、「公司治理法規」、「投資學」為三選一課程。  
Students must choose one of the three courses of 「Financial Management」、「Regulation on Corporate Governance」、「Investment」。